

**EXAMINER.**

DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609;  
Draw line through citation if not in conformance and not considered. Include copy of this form with  
next communication to the patent owner.

EXAMINER:

DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

---

Page 1 of 1  
(modified)

Information Disclosure Statement—PTO 1449



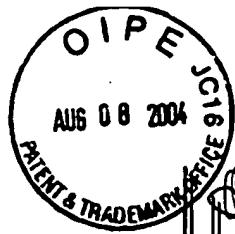
## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

Title of Invention	IN SITU PROCESSING OF A COAL FORMATION TO PRODUCE A SELECTED GAS MIXTURE						
Application Number:	09/841127 						
Confirmation Number:	5794						
First Named Applicant:	Scott Wellington						
Attorney Docket Number:	5659-06700						
Art Unit:	1764						
Examiner:	Thuan D. Dang						
Search string:	( 6698515 or 6702016 or 6708758 or 6712135 or 6712136 or 6712137 or 6715546 or 6715547 or 6715549 or 6715548 or 6719047 or 6722431 or 6722430 or 6722429 or 6725920 or 6725921 or 6725928 or 6729397 or 6729396 or 6729401 or 6729395 or 6732794 or 6732796 or 6736215 or 6739394 or 6739393 or 6742593 or 6742587 or 6742589 or 6742588 or 6745837 or 6745831 or 6749021 or 6752210 or 6758268 or 6763886 or 6769485 or 6769483 or 6581684 or 6588504 or 6588503 or 6591906 or 6591907 or 6607033 or 6609570 or 6688387 or 6761216 or 20040069486 or 20040015023 or 20030213594 or 20040040715 or 20040020642 or 20040108111 ).pn.						
<b>US Patent Documents</b>							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
1	6698515	2004-03-02	Karanikas et al.				
2	6702016	2004-03-09	de Rouffignac et al.				
3	6708758	2004-03-23	de Rouffignac et al.				
4	6712135	2004-03-30	Wellington et al.				
5	6712136	2004-03-30	de Rouffignac et al.				
6	6712137	2004-03-30	Vinegar et al.				
7	6715546	2004-04-06	Vinegar et al.				



8	6715547	2004-04-06	Vinegar et al.
9	6715549	2004-04-06	Wellington et al.
10	6715548	2004-04-06	Wellington et al.
11	6719047	2004-04-13	Fowler et al.
12	6722431	2004-04-20	Karanikas et al.
13	6722430	2004-04-20	Vinegar et al.
14	6722429	2004-04-20	de Rouffignac et al.
15	6725920	2004-04-27	Zhang et al.
16	6725921	2004-04-27	de Rouffignac et al.
17	6725928	2004-04-27	Vinegar et al.
18	6729397	2004-05-04	Zhang et al.
19	6729396	2004-05-04	Vinegar et al.
20	6729401	2004-05-04	Vinegar et al.
21	6729395	2004-05-04	Shahin et al.
22	6732794	2004-05-11	Wellington et al.
23	6732796	2004-05-11	Vinegar et al.
24	6736215	2004-05-18	Maher et al.
25	6739394	2004-05-25	Vinegar et al.
26	6739393	2004-05-25	Vinegar et al.
27	6742593	2004-06-01	Vinegar et al.
28	6742587	2004-06-01	Vinegar et al.
29	6742589	2004-06-01	Berchenko et al.
30	6742588	2004-06-01	Wellington et al.
31	6745837	2004-06-08	Wellington et al.
32	6745831	2004-06-08	de Rouffignac et al.
33	6749021	2004-06-15	Vinegar et al.
34	6752210	2004-06-22	de Rouffignac et al.
35	6758268	2004-07-06	Vinegar et al.
36	6763886	2004-07-20	Schoeling et al.
37	6769485	2004-08-03	Vinegar et al.
38	6769483	2004-08-03	de Rouffignac et al.
39	6581684	2004-06-24	Wellington et al.
40	6588504	2004-07-08	Wellington et al.
41	6588503	2004-07-08	Karanikas et al.
42	6591906	2004-07-15	Wellington et al.
43	6591907	2004-07-15	Zhang et al.



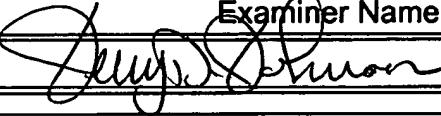
44	6607033	2003-08-19	Wellington et al.
45	6609570	2003-08-26	Wellington et al.
46	6688387	2003-02-10	Wellington et al.
47	6761216	2004-07-13	Vinegar et al.

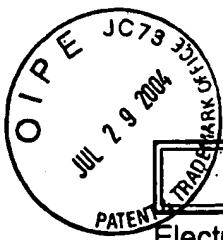
## US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

inu	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
1	20040069486	2004-04-15	Vinegar et al.				
2	20040015023	2003-11-20	Wellington et al.				
3	20030213594	2003-11-20	Wellington et al.				
4	20040040715	2004-03-04	Wellington et al.				
5	20040020642	2004-02-05	Vinegar et al.				
6	20040108111	2004-06-10	Vinegar et al.				

Signature

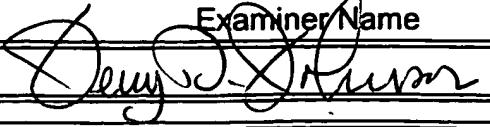
Examiner Name 	Date 12/13/04
--	------------------



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	IN SITU THERMAL PROCESSING OF A COAL FORMATION TO PRODUCE A SELECTED GAS MIXTURE						
Application Number:	09/841127 						
Confirmation Number:	5794						
First Named Applicant:	Scott Wellington						
Attorney Docket Number:	5659-06700						
Art Unit:	1764						
Examiner:	T. D. Dang						
Search string:	( 3004596 or 3342258 or 3455383 or 3501201 or 3502372 or 3759574 or 4160479 or 4375302 or 4483398 or 4815790 ).pn.						
<b>US Patent Documents</b>							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
Init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	3004596	1961-10-17	Parker et al.			
	2	3342258	1967-09-19	Prats			
	3	3455383	1969-07-15	Prats et al.			
	4	3501201	1970-03-17	Closmann et al.			
	5	3502372	1970-03-24	Prats			
	6	3759574	1973-09-18	Beard			
	7	4160479	1979-07-10	Richardson et al.			
	8	4375302	1983-03-01	Kalmar			
	9	4483398	1984-11-20	Peters et al.			
	10	4815790	1989-03-28	Rosar et al.			
Signature							
				Examiner Name	Date		
				12/13/04			

<p>Form PTO-1449 (modified)</p> <p>List of Patents and Publications</p> <p>For Applicant's Information</p> <p>Disclosure Statement</p> <p>(Use several sheets if necessary)</p>		<p>ATTY. DKT. NO. 5659-06700</p> <p>APPLICANT: Wellington et al.</p> <p>FILING DATE: 4/24/2001</p>	<p>SERIAL NO. 09/841,127</p> <p>CONFIRMATION NO: 5794</p> <p>ART UNIT: 1764</p>
<p>OTHER ART</p> <p>CC01 Porter, H. P., Petroleum Dictionary for Oil, Field, and Factory, The Gulf Publishing Company, 1948, 4th Ed., page 312.</p> <p>120</p> <p>121</p> <p>122</p> <p>123</p> <p>124</p> <p>125</p> <p>126</p> <p>127</p> <p>128</p> <p>129</p> <p>130</p> <p>131</p> <p>132</p> <p>133</p> <p>134</p> <p>135</p> <p>136</p> <p>137</p> <p>138</p> <p>139</p> <p>140</p> <p>141</p> <p>142</p> <p>143</p> <p>144</p> <p>145</p> <p>146</p> <p>147</p> <p>148</p> <p>149</p> <p>150</p> <p>151</p> <p>152</p> <p>153</p> <p>154</p> <p>155</p> <p>156</p> <p>157</p> <p>158</p> <p>159</p> <p>160</p> <p>161</p> <p>162</p> <p>163</p> <p>164</p> <p>165</p> <p>166</p> <p>167</p> <p>168</p> <p>169</p> <p>170</p> <p>171</p> <p>172</p> <p>173</p> <p>174</p> <p>175</p> <p>176</p> <p>177</p> <p>178</p> <p>179</p> <p>180</p> <p>181</p> <p>182</p> <p>183</p> <p>184</p> <p>185</p> <p>186</p> <p>187</p> <p>188</p> <p>189</p> <p>190</p> <p>191</p> <p>192</p> <p>193</p> <p>194</p> <p>195</p> <p>196</p> <p>197</p> <p>198</p> <p>199</p> <p>200</p> <p>201</p> <p>202</p> <p>203</p> <p>204</p> <p>205</p> <p>206</p> <p>207</p> <p>208</p> <p>209</p> <p>210</p> <p>211</p> <p>212</p> <p>213</p> <p>214</p> <p>215</p> <p>216</p> <p>217</p> <p>218</p> <p>219</p> <p>220</p> <p>221</p> <p>222</p> <p>223</p> <p>224</p> <p>225</p> <p>226</p> <p>227</p> <p>228</p> <p>229</p> <p>230</p> <p>231</p> <p>232</p> <p>233</p> <p>234</p> <p>235</p> <p>236</p> <p>237</p> <p>238</p> <p>239</p> <p>240</p> <p>241</p> <p>242</p> <p>243</p> <p>244</p> <p>245</p> <p>246</p> <p>247</p> <p>248</p> <p>249</p> <p>250</p> <p>251</p> <p>252</p> <p>253</p> <p>254</p> <p>255</p> <p>256</p> <p>257</p> <p>258</p> <p>259</p> <p>260</p> <p>261</p> <p>262</p> <p>263</p> <p>264</p> <p>265</p> <p>266</p> <p>267</p> <p>268</p> <p>269</p> <p>270</p> <p>271</p> <p>272</p> <p>273</p> <p>274</p> <p>275</p> <p>276</p> <p>277</p> <p>278</p> <p>279</p> <p>280</p> <p>281</p> <p>282</p> <p>283</p> <p>284</p> <p>285</p> <p>286</p> <p>287</p> <p>288</p> <p>289</p> <p>290</p> <p>291</p> <p>292</p> <p>293</p> <p>294</p> <p>295</p> <p>296</p> <p>297</p> <p>298</p> <p>299</p> <p>300</p> <p>301</p> <p>302</p> <p>303</p> <p>304</p> <p>305</p> <p>306</p> <p>307</p> <p>308</p> <p>309</p> <p>310</p> <p>311</p> <p>312</p> <p>313</p> <p>314</p> <p>315</p> <p>316</p> <p>317</p> <p>318</p> <p>319</p> <p>320</p> <p>321</p> <p>322</p> <p>323</p> <p>324</p> <p>325</p> <p>326</p> <p>327</p> <p>328</p> <p>329</p> <p>330</p> <p>331</p> <p>332</p> <p>333</p> <p>334</p> <p>335</p> <p>336</p> <p>337</p> <p>338</p> <p>339</p> <p>340</p> <p>341</p> <p>342</p> <p>343</p> <p>344</p> <p>345</p> <p>346</p> <p>347</p> <p>348</p> <p>349</p> <p>350</p> <p>351</p> <p>352</p> <p>353</p> <p>354</p> <p>355</p> <p>356</p> <p>357</p> <p>358</p> <p>359</p> <p>360</p> <p>361</p> <p>362</p> <p>363</p> <p>364</p> <p>365</p> <p>366</p> <p>367</p> <p>368</p> <p>369</p> <p>370</p> <p>371</p> <p>372</p> <p>373</p> <p>374</p> <p>375</p> <p>376</p> <p>377</p> <p>378</p> <p>379</p> <p>380</p> <p>381</p> <p>382</p> <p>383</p> <p>384</p> <p>385</p> <p>386</p> <p>387</p> <p>388</p> <p>389</p> <p>390</p> <p>391</p> <p>392</p> <p>393</p> <p>394</p> <p>395</p> <p>396</p> <p>397</p> <p>398</p> <p>399</p> <p>400</p> <p>401</p> <p>402</p> <p>403</p> <p>404</p> <p>405</p> <p>406</p> <p>407</p> <p>408</p> <p>409</p> <p>410</p> <p>411</p> <p>412</p> <p>413</p> <p>414</p> <p>415</p> <p>416</p> <p>417</p> <p>418</p> <p>419</p> <p>420</p> <p>421</p> <p>422</p> <p>423</p> <p>424</p> <p>425</p> <p>426</p> <p>427</p> <p>428</p> <p>429</p> <p>430</p> <p>431</p> <p>432</p> <p>433</p> <p>434</p> <p>435</p> <p>436</p> <p>437</p> <p>438</p> <p>439</p> <p>440</p> <p>441</p> <p>442</p> <p>443</p> <p>444</p> <p>445</p> <p>446</p> <p>447</p> <p>448</p> <p>449</p> <p>450</p> <p>451</p> <p>452</p> <p>453</p> <p>454</p> <p>455</p> <p>456</p> <p>457</p> <p>458</p> <p>459</p> <p>460</p> <p>461</p> <p>462</p> <p>463</p> <p>464</p> <p>465</p> <p>466</p> <p>467</p> <p>468</p> <p>469</p> <p>470</p> <p>471</p> <p>472</p> <p>473</p> <p>474</p> <p>475</p> <p>476</p> <p>477</p> <p>478</p> <p>479</p> <p>480</p> <p>481</p> <p>482</p> <p>483</p> <p>484</p> <p>485</p> <p>486</p> <p>487</p> <p>488</p> <p>489</p> <p>490</p> <p>491</p> <p>492</p> <p>493</p> <p>494</p> <p>495</p> <p>496</p> <p>497</p> <p>498</p> <p>499</p> <p>500</p> <p>501</p> <p>502</p> <p>503</p> <p>504</p> <p>505</p> <p>506</p> <p>507</p> <p>508</p> <p>509</p> <p>510</p> <p>511</p> <p>512</p> <p>513</p> <p>514</p> <p>515</p> <p>516</p> <p>517</p> <p>518</p> <p>519</p> <p>520</p> <p>521</p> <p>522</p> <p>523</p> <p>524</p> <p>525</p> <p>526</p> <p>527</p> <p>528</p> <p>529</p> <p>530</p> <p>531</p> <p>532</p> <p>533</p> <p>534</p> <p>535</p> <p>536</p> <p>537</p> <p>538</p> <p>539</p> <p>540</p> <p>541</p> <p>542</p> <p>543</p> <p>544</p> <p>545</p> <p>546</p> <p>547</p> <p>548</p> <p>549</p> <p>550</p> <p>551</p> <p>552</p> <p>553</p> <p>554</p> <p>555</p> <p>556</p> <p>557</p> <p>558</p> <p>559</p> <p>560</p> <p>561</p> <p>562</p> <p>563</p> <p>564</p> <p>565</p> <p>566</p> <p>567</p> <p>568</p> <p>569</p> <p>570</p> <p>571</p> <p>572</p> <p>573</p> <p>574</p> <p>575</p> <p>576</p> <p>577</p> <p>578</p> <p>579</p> <p>580</p> <p>581</p> <p>582</p> <p>583</p> <p>584</p> <p>585</p> <p>586</p> <p>587</p> <p>588</p> <p>589</p> <p>590</p> <p>591</p> <p>592</p> <p>593</p> <p>594</p> <p>595</p> <p>596</p> <p>597</p> <p>598</p> <p>599</p> <p>600</p> <p>601</p> <p>602</p> <p>603</p> <p>604</p> <p>605</p> <p>606</p> <p>607</p> <p>608</p> <p>609</p> <p>610</p> <p>611</p> <p>612</p> <p>613</p> <p>614</p> <p>615</p> <p>616</p> <p>617</p> <p>618</p> <p>619</p> <p>620</p> <p>621</p> <p>622</p> <p>623</p> <p>624</p> <p>625</p> <p>626</p> <p>627</p> <p>628</p> <p>629</p> <p>630</p> <p>631</p> <p>632</p> <p>633</p> <p>634</p> <p>635</p> <p>636</p> <p>637</p> <p>638</p> <p>639</p> <p>640</p> <p>641</p> <p>642</p> <p>643</p> <p>644</p> <p>645</p> <p>646</p> <p>647</p> <p>648</p> <p>649</p> <p>650</p> <p>651</p> <p>652</p> <p>653</p> <p>654</p> <p>655</p> <p>656</p> <p>657</p> <p>658</p> <p>659</p> <p>660</p> <p>661</p> <p>662</p> <p>663</p> <p>664</p> <p>665</p> <p>666</p> <p>667</p> <p>668</p> <p>669</p> <p>670</p> <p>671</p> <p>672</p> <p>673</p> <p>674</p> <p>675</p> <p>676</p> <p>677</p> <p>678</p> <p>679</p> <p>680</p> <p>681</p> <p>682</p> <p>683</p> <p>684</p> <p>685</p> <p>686</p> <p>687</p> <p>688</p> <p>689</p> <p>690</p> <p>691</p> <p>692</p> <p>693</p> <p>694</p> <p>695</p> <p>696</p> <p>697</p> <p>698</p> <p>699</p> <p>700</p> <p>701</p> <p>702</p> <p>703</p> <p>704</p> <p>705</p> <p>706</p> <p>707</p> <p>708</p> <p>709</p> <p>710</p> <p>711</p> <p>712</p> <p>713</p> <p>714</p> <p>715</p> <p>716</p> <p>717</p> <p>718</p> <p>719</p> <p>720</p> <p>721</p> <p>722</p> <p>723</p> <p>724</p> <p>725</p> <p>726</p> <p>727</p> <p>728</p> <p>729</p> <p>730</p> <p>731</p> <p>732</p> <p>733</p> <p>734</p> <p>735</p> <p>736</p> <p>737</p> <p>738</p> <p>739</p> <p>740</p> <p>741</p> <p>742</p> <p>743</p> <p>744</p> <p>745</p> <p>746</p> <p>747</p> <p>748</p> <p>749</p> <p>750</p> <p>751</p> <p>752</p> <p>753</p> <p>754</p> <p>755</p> <p>756</p> <p>757</p> <p>758</p> <p>759</p> <p>760</p> <p>761</p> <p>762</p> <p>763</p> <p>764</p> <p>765</p> <p>766</p> <p>767</p> <p>768</p> <p>769</p> <p>770</p> <p>771</p> <p>772</p> <p>773</p> <p>774</p> <p>775</p> <p>776</p> <p>777</p> <p>778</p> <p>779</p> <p>780</p> <p>781</p> <p>782</p> <p>783</p> <p>784</p> <p>785</p> <p>786</p> <p>787</p> <p>788</p> <p>789</p> <p>790</p> <p>791</p> <p>792</p> <p>793</p> <p>794</p> <p>795</p> <p>796</p> <p>797</p> <p>798</p> <p>799</p> <p>800</p> <p>801</p> <p>802</p> <p>803</p> <p>804</p> <p>805</p> <p>806</p> <p>807</p> <p>808</p> <p>809</p> <p>810</p> <p>811</p> <p>812</p> <p>813</p> <p>814</p> <p>815</p> <p>816</p> <p>817</p> <p>818</p> <p>819</p> <p>820</p> <p>821</p> <p>822</p> <p>823</p> <p>824</p> <p>825</p> <p>826</p> <p>827</p> <p>828</p> <p>829</p> <p>830</p> <p>831</p> <p>832</p> <p>833</p> <p>834</p> <p>835</p> <p>836</p> <p>837</p> <p>838</p> <p>839</p> <p>840</p> <p>841</p> <p>842</p> <p>843</p> <p>844</p> <p>845</p> <p>846</p> <p>847</p> <p>848</p> <p>849</p> <p>850</p> <p>851</p> <p>852</p> <p>853</p> <p>854</p> <p>855</p> <p>856</p> <p>857</p> <p>858</p> <p>859</p> <p>860</p> <p>861</p> <p>862</p> <p>863</p> <p>864</p> <p>865</p> <p>866</p> <p>867</p> <p>868</p> <p>869</p> <p>870</p> <p>871</p> <p>872</p> <p>873</p> <p>874</p> <p>875</p> <p>876</p> <p>877</p> <p>878</p> <p>879</p> <p>880</p> <p>881</p> <p>882</p> <p>883</p> <p>884</p> <p>885</p> <p>886</p> <p>887</p> <p>888</p> <p>889</p> <p>890</p> <p>891</p> <p>892</p> <p>893</p> <p>894</p> <p>895</p> <p>896</p> <p>897</p> <p>898</p> <p>899</p> <p>900</p> <p>901</p> <p>902</p> <p>903</p> <p>904</p> <p>905</p> <p>906</p> <p>907</p> <p>908</p> <p>909</p> <p>910</p> <p>911</p> <p>912</p> <p>913</p> <p>914</p> <p>915</p> <p>916</p> <p>917</p> <p>918</p> <p>919</p> <p>920</p> <p>921</p> <p>922</p> <p>923</p> <p>924</p> <p>925</p> <p>926</p> <p>927</p> <p>928</p> <p>929</p> <p>930</p> <p>931</p> <p>932</p> <p>933</p> <p>934</p> <p>935</p> <p>936</p> <p>937</p> <p>938</p> <p>939</p> <p>940</p> <p>941</p> <p>942</p> <p>943</p> <p>944</p> <p>945</p> <p>946</p> <p>947</p> <p>948</p> <p>949</p> <p>950</p> <p>951</p> <p>952</p> <p>953</p> <p>954</p> <p>955</p> <p>956</p> <p>957</p> <p>958</p> <p>959</p> <p>960</p> <p>961</p> <p>962</p> <p>963</p> <p>964</p> <p>965</p> <p>966</p> <p>967</p> <p>968</p> <p>969</p> <p>970</p> <p>971</p> <p>972</p> <p>973</p> <p>974</p> <p>975</p> <p>976</p> <p>977</p> <p>978</p> <p>979</p> <p>980</p> <p>981</p> <p>982</p> <p>983</p> <p>984</p> <p>985</p> <p>986</p> <p>987</p> <p>988</p> <p>989</p> <p>990</p> <p>991</p> <p>992</p> <p>993</p> <p>994</p> <p>995</p> <p>996</p> <p>997</p> <p>998</p> <p>999</p> <p>1000</p>			

**EXAMINER:**

Henry E. Johnson

DATE CONSIDERED: 12/13/06

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

MAY 14 2004

PATENT & TRADEMARK OFFICE

## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	IN SITU THERMAL PROCESSING OF A COAL FORMATION TO PRODUCE A SELECTED GAS MIXTURE
--------------------	--

Application Number: 09/841127



Confirmation Number: 5794

First Named Applicant: Scott Wellington

Attorney Docket Number: 5659-06700

Art Unit: 1764

Examiner: T. D. Dang

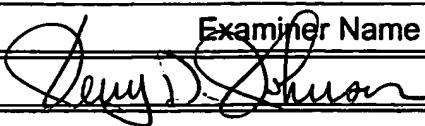
Search string: ( 3994340 or 3994341 or 4460044 or 4696345  
or 2584605 or 2969226 or 3982591 or  
3982592 ).pn.

### US Patent Documents

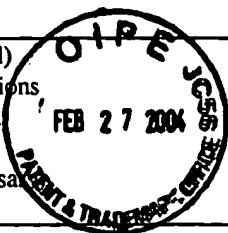
Note: Applicant is not required to submit a paper copy of cited US Patent Documents

Init	Cite. No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	3994340	1976-11-30	Anderson et al.			
	2	3994341	1976-11-30	Anderson et al.			
	3	4460044	1984-07-17	Porter			
	4	4696345	1987-09-29	Hsueh			
	5	2584605	1952-02-05	Merriam et al.			
	6	2969226	1961-01-24	Huntington			
	7	3982591	1976-09-28	Hamrick et al.			
	8	3982592	1976-09-28	Hamrick et al.			

### Signature

Examiner Name	Date
	12/13/04

Form PTO-1449 (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)



ATTY. DKT. NO. 5659-06700

APPLICANT: Wellington et al.

FILING DATE: April 24, 2001

SERIAL NO. 09/841,127

CONFIRMATION NO.: 5794

ART UNIT: 1764

U.S. PATENT DOCUMENTS

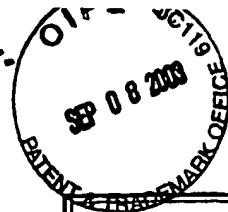
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
QH	U11	4006778	2/8/1977	Redford et al.	—	—	

EXAM/ INITIALS	REF. DES.	OTHER ART (including Author, Title, Date, Pertinent Pages, etc.)
QH	AA11	Van Krevelen, D. W.; COAL: Typology-Physics-Chemistry-Constitution, 1993, p. 371.

EXAMINER:

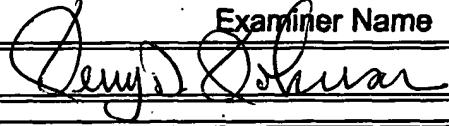
DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

Title of Invention	IN SITU THERMAL PROCESSING OF A COAL FORMATION TO PRODUCE A SELECTED GAS MIXTURE						
<p>Application Number: 09/841127 Confirmation Number: 5794 First Named Applicant: Scott Wellington Attorney Docket Number: 5659-06700 Art Unit: 1764 Examiner: Glenn A. Calderola Search string: ( 3947656 ).pn.</p>							
<b>US Patent Documents</b>							
<p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p>							
Ref.	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	3947656	1976-03-30	Lodi			
<b>Signature</b>							
				Examiner Name	Date		
					12/13/04		

Form PTO-1449 (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

O I P E  
AUG 15 2003  
U. S. PATENT & TRADEMARK OFFICE

ATTY. DKT. NO. 5659-06700

APPLICANT: Wellington et al.

FILING DATE: April 24, 2001

SERIAL NO. 09/841,127

GROUP: 3672

RECEIVED  
AUG 18 2003  
GROUP 13

### U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
CD	S5	2,857,002	10/21/1958	Pevere et al.	—	—	
1	U1	3,165,154	1/12/1965	Santourian	—	—	
1	U2	4,458,757	7/10/1984	Bock et al.	—	—	

### FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
CD	T01	1836876	12/30/1994	SU			Y

### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

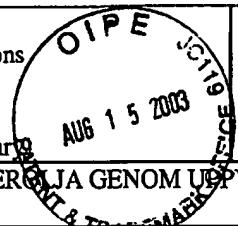
CD	T02	Burnham, Alan, K. "Oil Shale Retorting Dependence of timing and composition on temperature and heating rate", January 27, 1995, (23 pages).
	T03	Burnham et al. "A Possible Mechanism of Alkene/Alkane Production in Oil Shale Retorting, (7 pages).
	T04	Campbell, et al., "Kinetics of oil generation from Colorado Oil Shale" IPC Business Press, Fuel, 1978, (3 pages).
	T05	Cummins et al. "Thermal Degradation of Green River Kerogen at 150° to 350 °C", Report of Investigations 7620, U.S. Government Printing Office, 1972, (pages 1-15).
	T06	Cook, et al. "The Composition of Green River Shale Oils", United Nations Symposium on the Development and Utilization of Oil Shale Resources, Tallinn, 1968, (pages 1-23).
	T07	Hill et al., "The Characteristics of a Low Temperature in situ Shale Oil" American Institute of Mining, Metallurgical & Petroleum Engineers, 1967 (pages 75-90)..
	T08	Dinneen, et al. "Developments in Technology for Green River Oil Shale" United Nations Symposium on the Development and Utilization of Oil Shale Resources, Tallinn, 1968, (pages 1-20).
	T09	De Rouffignac, E. "In Situ Resistive Heating of Oil Shale for Oil Production-A Summary of the Swedish Data, (4 pages).
	T10	Dougan, et al. "The Potential for in situ Retorting of Oil Shale in the Piceance Creek Basin of Northwestern Colorado", Quarterly of the Colorado School of Mines (pages 57-72).
	T11	Hill et al. "Direct Production of Low Pour Point High Gravity Shale Oil" I&EC Product Research and Development, 1967, Volume 6, (pages 52-59).
	T12	Yen et al., "Oil Shale" Developments in Petroleum Science, 5, Elsevier Scientific Publishing Co., 1976 (pages 187-198).
	T13	SSAB report, "A Brief Description of the Ljungstrom Method for Shale Oil Production," 1950, (12 pages).
	T14	Salomonsson G., SSAB report, "The Lungstrom In Situ-Method for Shale Oil Recovery, 1950 (28 pages)
	T15	"Swedish shale oil-Production method in Sweden," Organisation for European Economic Co-operation, 1952, (70 pages).
	T16	SSAB report, "Kvarn Torp" 1958, (36 pages).
	T17	SSAB report, "Kvarn Torp" 1951 (35 pages).
	T18	SSAB report, "Summary study of the shale oil works at Narkes Kvarntorp" (15 pages).
	T19	Vogel et al. "An Analog Computer for Studying Heat Transfer during a Thermal Recovery Process," AIME Petroleum Transactions, 1955 (pages 205-212).

EXAMINER: Henry D. Johnson

DATE CONSIDERED: 10/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Form PTO-1449 (modified)  
 List of Patents and Publications  
 For Applicant's Information  
 Disclosure Statement  
 (Use several sheets if necessary)



ATTY. DKT. NO. 5659-06700

APPLICANT: Wellington et al.

FILING DATE: April 24, 2001

SERIAL NO. 09/841,127

GROUP: 3672

	T20	"SKIFEROLJA GENOM UPPVARMNING AV SKIFFERBERGET," Faxin Department och Namder, 1941, (3 pages)
	T21	"Aggregleringens orsaker och ransoneringen grunder", Av director E.F.Cederlund I Statens livesmedelskommission (1page).
	T22	Ronnby, E. "KVARNTORP-Sveriges Storsta skifferoljeindustri," 1943, (9 pages)
	T23	SAAB report, "The Swedish Shale Oil Industry," 1948 (8 pages).
	T24	Gejrot et al., "The Shale Oil Industry in Sweden," Carlo Colombo Publishers-Rome, Proceedings of the Fourth World Petroleum Congress, 1955 (8 pages)
	T25	Hedbeck, T. J., The Swedish Shale as Raw Material for Production of Power, Oil and Gas," XIth Sectional Meeting World Power Conference, 1957 (9 pages)
	T26	SAAB, "Santa Cruz, California, Field Test of the Lins Method for the Recovery of Oil from Sand", 1955 Vol. 1, (141 pages) English
	T27	SAAB, "Santa Cruz, California, Field Test of the Lins Method for the Recovery of Oil from Sand-Figures", 1955 Vol. 2, (146 pages) English.
	T28	"Santa Cruz, California, Field Test of the Lins Method for the Recovery of Oil from Sand-Memorandum re: tests", 1955 Vol. 3, (256 pages) English.
	T29	Helander, R.E., "Santa Cruz, California, Field Test of Carbon Steel Burner Casings for the Lins Method of Oil Recovery", 1959 (38 pages) English.
	T30	Helander et al., Santa Cruz, California, Field Test of Fluidized Bed Burners for the Lins Method of Oil Recovery" 1959, (86 pages) English.
	T31	SSAB report, "Bradford Residual Oil, Athabasca Ft. McMurray" 1951, (207 pages), partial translation.
	T32	"Lins Burner Test Results-English" 1959-1960
	T33	SSAB "Annual Reports, SSAB Laboratory, Address Annually Issues-Shale and Ash, Oil, Gas, Waste Water, Analytical", 1953-1954, (166 pages). Swedish
	T34	SSAB report, "Financial Matter, Swedish taxes, etc.," 1960-1961 (37 pages). Swedish
	T35	SSAB report, "Cost For Mining," 1959-1979 (13 pages). Swedish
	T36	SSAB report, "Cost Comparison of Mining and Processing of Shale and Dolomite Using Various Production Alternatives", 1960, (64 pages). Swedish
	T37	SSAB report, "Assessment of Future Mining Alternatives of Shale and Dolomite," 1962, (59 pages) Swedish.
	T38	SSAB report. "Kartong 2 Shale: Ljungstromsanlagningen" (104 pages) Swedish.
	T39	SAAB, "Photos", (18 pages).
	T40	SAAB report, "Swedish Geological Survey Report, Plan to Delineate Oil shale Resource in Narkes Area (near Kvarntorp)," 1941 (13 pages). Swedish.
	T41	SAAB report, "Recovery Efficiency," 1941, (61 pages). Swedish.
	T42	SAAB report, "Geologic Work Conducted to Assess Possibility of Expanding Shale Mining Area in Kvarntorp; Drilling Results, Seismic Results," 1942 (79 pages). Swedish.
	T43	SSAB report, "Ojematinigar vid Norrtorp," 1945 (141 pages).
	T44	SSAB report, "Inhopplingschema, Norrtorp II 20/3-17/8", 1945 (50 pages). Swedish.
	T45	SSAB report, "Secondary Recovery after LINS," 1945 (78 pages)
	T46	SSAB report, "Maps and Diagrams, Geology," 1947 (137 pages). Swedish.

EXAMINER:

DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

EXAMINER: Henry S. Johnson

DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

RECEIVED  
JUN 24 2003  
TC 1700

Title of Invention	IN SITU THERMAL PROCESSING OF A COAL FORMATION TO PRODUCE A SELECTED GAS MIXTURE
--------------------	--

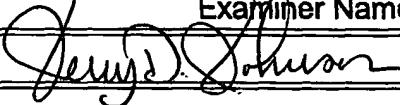
Application Number: 09/841127  
Confirmation Number: 5794  
First Named Applicant: Scott Wellington  
Attorney Docket Number: 5659-06700  
Art Unit: 1764  
Examiner: Marian C. Knode  
Search string: ( 3026940 or

### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
1	1	3026940	1962-03-27	Spitz			
2	2	3947683	1976-03-30	Schultz et al.			

### Signature

Examiner Name	Date
	12/13/04



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

Title of Invention		Title IN SITU THERMAL PROCESSING OF A COAL FORMATION TO PRODUCE A SELECTED GAS MIXTURE					
Application Number:		09/841127					
Confirmation Number:		5794					
First Named Applicant:		Scott Wellington					
Attorney Docket Number:		5659-06700					
Examiner:		unknown unknown					
Search string:		( 3986556 or 4031956 or 4140180 or 4412585 or 4501326 or 4524827 or 4585066 or 4776638 or 4856587 or 5517593 or 5099918 or 5751895 or 6015015 or 6112808 ).pn.					
<i>RECEIVED</i> <i>JUN 09 2003</i> <i>TC 1700</i>							
<b>US Patent Documents</b>							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
1	3986556	1976-10-19	Haynes				
2	4031956	1977-06-28	Terry				
3	4140180	1979-02-20	Bridges et al.				
4	4412585	1983-11-01	Bouck				
5	4501326	1985-02-26	Edmunds				
6	4524827	1985-06-25	Bridges et al.				
7	4585066	1986-04-29	Moore et al.				
8	4776638	1988-10-11	Hahn				
9	4856587	1989-08-15	Nielson				
10	5517593	1996-05-14	Nenniger et al.				
11	5099918	1992-03-31	Bridges et al.				
12	5751895	1998-05-12	Bridges				
13	6015015	2000-01-18	Luft et al.				
14	6112808	2000-09-05	Isted				

RECEIVED  
JUN 09 2003  
TC 1700

## US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	3986556	1976-10-19	Haynes			
	2	4031956	1977-06-28	Terry			
	3	4140180	1979-02-20	Bridges et al.			
	4	4412585	1983-11-01	Bouck			
	5	4501326	1985-02-26	Edmunds			
	6	4524827	1985-06-25	Bridges et al.			
	7	4585066	1986-04-29	Moore et al.			
	8	4776638	1988-10-11	Hahn			
	9	4856587	1989-08-15	Nielson			
	10	5517593	1996-05-14	Nenniger et al.			
	11	5099918	1992-03-31	Bridges et al.			
	12	5751895	1998-05-12	Bridges			
	13	6015015	2000-01-18	Luft et al.			
	14	6112808	2000-09-05	Isted			

**Signature**

Examiner Name	Date
<i>Denny D. Johnson</i>	12/13/04

Form PTO-1449 (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

ATTY. DKT. NO. 5659-06700/TH 02

SERIAL NO. 09/841,127

APPLICANT: Wellington et al.

GROUP: 3672

FILING DATE: April 24, 2001

O P E R A T I O N  
MAY 30 2003  
P A T E N T & T R A D E M A R K O F F I C E  
S P A C E

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
<i>AA</i>	AA2	294 809	1988-12-14	EP			

RECEIVED

JUN 03 2003

GROUP 3600

RECEIVED

JUN 10 2003

TC 1700

EXAMINER:

*Henry D. Johnson*

DATE CONSIDERED:

12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.



# Electronic Information Disclosure Statement

## IN SITU THERMAL PROCESSING OF A COAL FORMATION TO PRODUCE A SELECTED GAS MIXTURE

Application:   
09/841127

Confirmation: 5794

Applicant(s): Scott Wellington

Docket Number: 5659-06700

Group Art

Unit:

Examiner: Unknown

search string: (4193451 or 4265307 or 4390067 or 4456065 or 4457374 or 4479541 or 4498535 or 4598770 or 4669542 or 4682652 or 4982786 or 5201219 or 5339904 or 3349845 ).pn.

### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

Init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
	P08	4193451	1980-03-18		Dauphine		
	P09	4265307	1981-05-05		Elkins		
	P10	4390067	1983-06-28		Wilman		
	P11	4456065	1984-06-		Heim et al.		

		26		
P12	4457374	1984-07-03		Hoekstra et al.
P13	4479541	1984-10-30		Wang
P14	4498535	1985-02-12		Bridges
P15	4598770	1986-07-08		Shu et al.
P16	4669542	1987-06-02		Venkatesan
P17	4682652	1987-07-28		Huang et al.
P18	4982786	1991-01-08		Jennings, Jr.
P19	5201219	1993-04-13		Bandurski et al.
P20	5339904	1994-08-23		Jennings, Jr.
P25	3349845	1967-10-31		Holbert et al.

## Signature

Examiner Name	Date
	12/13/04



# Electronic Information Disclosure Statement

## IN SITU THERMAL PROCESSING OF A COAL FORMATION TO PRODUCE A SELECTED GAS MIXTURE

Application: 09/841127

Confirmation: 5794

Applicant(s): Scott Wellington

Docket Number: 5659-06700

Group Art Unit:

Examiner: Unknown

search string: (3221811 or 3987851 or 4042026 or 4005752 or 5868202 or 5126037 or 3477058 or 3580987 ).pn.

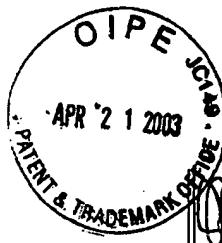
04/21/2003 NDAHTE1 00000148 501505 09841127

01 PG/1806 180.00 CH

### US Patent Documents

**Note: Applicant is not required to submit a paper copy of cited US Patent Documents**

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
	P01	3221811	1965-12-07		Prats		
	P02	3987851	1976-10-26		Tham		
	P03	4042026	1977-08-16		Pusch et al.		
	P04	4005752	1977-02-01		Cha		



PO5	5868202	1999-02-09		Hsu
PO6	5126037	1992-06-30		Showalter
PO7	3477058	1968-11-04		Vedder et al.
PO8	3580987	1971-05-25		Priaroggia

## Signature

Examiner Name	Date
	12/13/04

Form PTO-1449 (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

U.S. PATENT AND TRADEMARK OFFICE  
MAR 04 2003  
1097

ATTY. DKT. NO. 5659-06700/TH1992

SERIAL NO. 09/841,127

APPLICANT: Wellington et al.

GROUP: 3672

FILING DATE: April 24, 2001

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)



L12

Van Krevelen, COAL: Typology-Physics-Chemistry-Constitution, 1993, pp. 27, 42, 52, 322, 323, 324, 325, 326, 526, 527, 726.

RECEIVED  
MAR 14 2003  
GROUP 1700

RECEIVED  
MAR 11 2003  
GROUP 3600

EXAMINER:

DATE CONSIDERED:

12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.



# Electronic Information Disclosure Statement

## IN SITU THERMAL PROCESSING OF A COAL FORMATION TO PRODUCE A SELECTED GAS MIXTURE

Application: 09/841127

Confirmation: 5794

Applicant(s): Scott Wellington

Docket Number: 5659-06700

Group Art

Unit:

Examiner: Unknown

search string: (4087130 or 4537252 or re30019 or 2623596 or 3775185 or 4524113 or 5284878 or 5767584 or 5955039 or 4091869 or 4513816 or 0094813 or 5008085 or 4099567 or 0048994 or 64852332 or 20020018697 ).pn.

APP\_ID: 09841127 091303 08241127

130300 09

RECEIVED

MAR -4 2003  
T-1700 MAIL ROOM



### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
Q	P23	4087130	1978-05-02		Garrett		
	P24	4537252	1985-08-27		Puri		
	P25	re30019	1979-06-05		Lindquist		

P26	2623596	1952-12-30	████████████████	Whorton et al.
P27	3775185	1973-11-27	████████████████	Kunz et al.
P28	4524113	1985-06-18	████████████████	Lesieur
P29	5284878	1994-02-08	████████████████	Studer et al.
P30	5767584	1998-06-16	████████████████	Gore et. al
P31	5955039	1999-09-21	████████████████	Dowdy
P32	4091869	1978-05-30	████████████████	Hoyer
P33	4513816	1985-04-30	████████████████	Hubert
P34	0094813	1869-09-14	████████████████	Dickey
P35	5008085	1991-04-16	████████████████	Bain et al.
P36	4099567	1978-07-11	████████████████	Terry
P37	0048994	1865-07-25	████████████████	Parry
P38	64852332	2002-11-26	████████████████	Vinegar et al.

RECEIVED  
MAR -4 2003  
101700 MAIL ROOM

## Published Applications

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
U01	20020018697	2002-02-14	████████████████	Vinegar et al.			

## Remarks

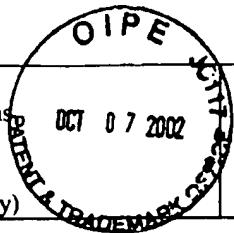
(Remarks are not for responding to an office action.)

Please note: Foreign patent documents and non-patent references will be sent using a standard US PTO 1449 Form.

## Signature

Examiner Name	Date
	12/13/04

Form PTO-1449 (modified)  
 List of Patents and Publications  
 For Applicant's Information  
 Disclosure Statement  
 (Use several sheets if necessary)



ATTY. DKT. NO. 5659-06700/TH1992

SERIAL NO. 09/841,127

APPLICANT: Wellington et al.

GROUP: 3672

FILING DATE: April 24, 2001

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	H1	4,093,025	June 78	Terry	1		
	H3	4,895,206	Jan-90	Price			
	J1	326,439	Sep-1885	McEachen			
	J2	1,681,523	Feb-1928	Downey et. al.			
	J3	2,244,256	Jun-1941	Looman			
	J4	2,714,930	Aug-1955	Carpenter			RECEIVED OCT 9 2002
	J5	3,547,193	Dec-1970	Gill			
	J6	3,562,401	Feb-1971	Long			
	J7	4,089,374	May-1978	Terry			
	J8	4,423,311	Dec-1983	Varney, Sr.			
	J9	4,489,782	Dec-1984	Perkins			
	J10	4,626,665	Dec-1986	Fort, III			
	J11	4,694,907	Sep-1987	Stahl et. al.			
	J12	5,182,792	Jan-1993	Goncalves			
	J13	5,402,847	Apr-1995	Wilson et. al.			
	J14	5,491,969	Feb-1996	Cohn et. al.			
	J15	5,621,844	Apr-1997	Bridges			
	J16	6,244,338	Jun-2001	Mones			
	J17	6,389,814	May-2002	Viteri et al.			
	J18	6,412,559	Jul-2002	Gunter et al.			
	J20	3,680,633	Aug-1972	Bennett			
	J21	4,508,170	Apr-1985	Littman			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	J19	97/01017	Jan-1997	WO			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	H2	Hobson, G.D., Modern Petroleum Technology, Halsted Press, Applied Science Publishers LTD. 1973, pp. 786, 787
--	----	--

EXAMINER:

DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner

Form PTO-1449 (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)



ATTY. DKT. NO. 5659-06700/TH1992

SERIAL NO. 09/841,127

APPLICANT: Wellington et al.

GROUP: 3672

FILING DATE: April 24, 2001

**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
000	G5	3,766,982	Oct-73	Justheim	—	—	
000	G7	3,599,714	Aug-71	Messman et al.	—	—	
000	G8	4,043,393	Aug-77	Fisher et al.	—	—	

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

000	G6	Rogers, Rudy E. "Coalbed Methane: Principles and Practice" Prentice-Hall, Inc. 1994, pp. 164-165.
000	G9	Hyne, Norman J. Geology for Petroleum Exploration, Drilling, and Production. McGraw-Hill Book Company, 1984, p. 264.

COPY OF PAPERS  
ORIGINALLY FILED

**RECEIVED**

AUG 30 2002

GROUP 3

EXAMINER:

DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Form PTO-1449 (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

JUL 30 2002

ATTY. DKT. NO. 5659-06700, ITH1992

SERIAL NO. 09/841,127

APPLICANT: Wellington et al.

GROUP: 3672

FILING DATE: April 24, 2001

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
QH	G5	3,766,982	Oct-1973	Justheim	—	—	

COPY OF PAPERS  
ORIGINALLY FILED

RECEIVED

JUL 05 2002

GROUP 3600

RECEIVED  
JUL 19 2002  
U.S. PATENT AND TRADEMARK OFFICE  
COMPUTER PROCESSING CENTER 1700

EXAMINER:

*Henry D. Johnson*

DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Form PTO-1449 (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)



ATTY. DKT. NO. 5659-06700 T111992

SERIAL NO. 09 841,127

APPLICANT: Wellington et al.

GROUP: 3672

FILING DATE: April 24, 2001

**U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
<i>SJH</i>	F1	4,252,191	Feb-1981	Pusch et al.	—	—	
<i>SJH</i>	F2	3,310,109	Mar-1967	J. W. Marx et al.	—	—	
<i>SJH</i>	G1	3,675,715	Jul-1972	Speller, Jr.	—	—	
<i>SJH</i>	G2	3,809,159	May-1974	Young et al.	55	—	

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

<i>SJH</i>	F3	Thermal, Mechanical, and Physical Properties of Selected Bituminous Coals and Cokes. J. M. Sanger and R. P. Tye. US Department of Interior, Bureau of Mines (1979) Government Report No. 8364.
<i>SJH</i>	G3	Rogers, Rudy E. "Coalbed Methane: Principles and Practice" Prentice-Hall, Inc. 1992 pp. 68-97.
<i>SJH</i>	G4	Department of Energy Coal Sample Bank and Database <a href="http://www.energy.psu.edu/arg/doesb.htm">http://www.energy.psu.edu/arg/doesb.htm</a> . June 4, 2002.

*MAILED JUL 11 2002*

**RECEIVED**

JUL 11 2002

**GROUP 3600**

EXAMINER:

DATE CONSIDERED:

12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Form PTO-1449 (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

JUN 03 2002

ATTY. DKT. NO. 5659-06700/TH1992

SERIAL NO. 09/841,127

APPLICANT: Wellington, et al.

GROUP: 3672

FILING DATE: April 24, 2001

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	E1	3,181,613	May-1965	Krueger			
	E2	3,922,148	Nov-1975	Child			
	E3	3,924,680	Dec-1975	Terry			
	E4	5,020,596	Jun-1991	Hemsath			
	E5	5,229,102	Jul-1993	Minet et al.			
	E6	5,316,664	May-1994	Gregoli et al.			
	E7	5,366,012	Nov-1994	Lohbeck			
	E8	5,541,517	Jul-1996	Hartmann et al.			
	E9	5,861,137	Jan-1999	Edlund			
	E10	6,354,373	Mar-2001	Vercaemer et al.			
	E15	4,463,807	Aug-1984	Stoddard et al.			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	E11	Coal, Encyclopedia of Chemical Technology, Kirk, R.E., Kroschwitz, J.I., Othmer, D.F., Wiley, New York, 4th edition, 1991, Vol. 6, pp. 423-488.
	E12	Cortez et al., UK Patent Application GB 2,068,014 A, Date of Publication: August 5, 1981.
	E13	Wellington et al., US Patent Application 60/273,354, Filed March 5, 2001.
	E14	The VertiTrak System Brochure, Baker Hughes, INT-01-1307A4, 2001 8 pages.

RECEIVED

JUN 03 2002

GROUP 3672

RECEIVED  
JUN 11 2002  
TC 1700

EXAMINER:

*Deny J. Johnson*

DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.



U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	C1	1,269,747	6/1918	Rogers			
	C2	1,457,479	6/1923	Wolcott			
	C3	1,634,236	6/1927	Ranney			RECEIVED
	C4	2,630,307	3/1953	Martin			MAY 07 2002
	C5	2,685,930	8/1954	Albaugh			
	C6	2,703,621	3/1955	Ford			GROUP 3600
	C7	2,771,954	11/1956	Jenks et al.			
	C8	2,793,696	5/1957	Morse			
	C9	2,890,754	6/1959	Hoffstrom et al.			
	C10	2,890,755	6/1959	Eurenus et al.			
	C11	2,906,340	9/1959	Herzog			JUN 11 2002
	C12	2,932,352	4/1960	Stegemeier			TC 1 TC
	C13	2,958,510	11/1960	Hurley			
	C14	3,010,513	11/1961	Gerner			
	C15	3,010,516	11/1961	Schleicher			
	C16	3,036,632	5/1962	Koch et al.			
	C17	3,044,545	7/1962	Tooke			
	C18	3,061,009	10/1962	Shirley			
	C19	3,062,282	11/1962	Schleicher			
	C20	3,084,919	4/1963	Slater			
	C21	3,113,619	12/1963	Reichle			
	C22	3,116,792	1/1964	Purre			
	C23	3,120,264	2/1964	Barron			
	C24	3,127,935	4/1964	Poettmann et al			
	C25	3,127,936	4/1964	Eurenus			
	C26	3,132,692	5/1964	Marx et al.			
	C27	3,205,944	9/1965	Walton			
	C28	3,233,668	2/1966	Hamilton et al.			
	C29	3,273,640	9/1966	Huntington			
	C30	3,275,076	9/1966	Sharp			

EXAMINER: *Denys Johnson*

DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

MAY 03 2002  
 PATENT & TRADEMARK OFFICE

ATTY. DKT. NO. 5659-06700/TH1992

SERIAL NO. 09/841,127

APPLICANT: Wellington, et al.

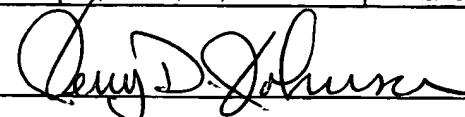
GROUP: 3672

FILING DATE: April 24, 2001

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	C31	3,294,167	12/1966	Vogel			
	C32	3,352,355	11/1967	Putman			
	C33	3,379,248	4/1968	Strange			RECEIVED
	C34	3,605,890	9/1971	Holm			MAY 07 2002
	C35	3,617,471	11/1971	Schlänger et al.			
	C36	3,661,423	5/1972	Garrett			GROUP 3600
	C37	3,770,398	11/1973	Abraham et al.			
	C38	3,882,941	5/1975	Pelofsky			
	C39	3,948,319	4/1976	Pritchett			
	C40	3,954,140	5/1976	Hendrick			JUN 11 2002
	C41	3,986,349	10/1976	Egan			TC 1700
	C42	3,999,607	12/1976	Pennington et al.			
	C43	4,008,762	2/1977	Fisher et al.			
	C44	4,019,575	4/1977	Pisio et al.			
	C45	4,026,357	5/1977	Redford			
	C46	4,049,053	9/1977	Fisher et al.			
	C47	4,057,293	11/1977	Garrett			
	C48	4,067,390	1/1978	Camacho et al.			
	C49	4,069,868	1/1978	Terry			
	C50	4,084,637	4/1978	Todd			
	C51	4,114,688	9/1978	Terry			
	C52	4,144,935	3/1979	Bridges et al.			
	C53	4,183,405	1/1980	Magnie			
	C54	4,228,854	10/1980	Sacuta			
	C55	4,243,101	1/1981	Grupping			
	C56	4,277,416	7/1981	Grant			
	C57	4,306,621	12/1981	Boyd et al.			
	C58	4,324,292	4/1982	Jacobs et al.			
	C59	4,344,483	8/1982	Fisher et al.			

EXAMINER:



DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

MAY 03 2002

APPLICANT: Wellington, et al.

GROUP: 3672

FILING DATE: April 24, 2001

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
WJ	C60	4,353,418	10/1982	Hoekstra et al.			
	C61	4,384,613	5/1983	Owen et al.			
	C62	4,396,062	8/1983	Iskander			
	C63	4,397,732	8/1983	Hoover et al.			
	C64	4,444,255	4/1984	Geoffrey et al.			MAY 07 2002
	C65	4,448,251	5/1984	Stine			
	C66	4,448,252	5/1984	Stoddard et al.			
	C67	4,457,365	7/1984	Kasevich et al.			
	C68	4,476,927	10/1984	Riggs			
	C69	4,485,869	12/1984	Sresty et al.			
	C70	4,524,826	6/1985	Savage			
	C71	4,549,396	10/1985	Garwood et al.			
	C72	4,573,530	3/1986	Audeh et al.			
	C73	4,576,231	3/1986	Dowling et al.			
	C74	4,592,423	6/1986	Savage et al.			
	C75	4,608,818	9/1986	Goebel et al.			
	C76	4,637,464	1/1987	Forgac et al.			
	C77	4,651,825	3/1987	Wilson			
	C78	4,662,438	5/1987	Taflove et al.			
	C79	4,662,439	5/1987	Puri			
	C80	4,662,443	5/1987	Puri et al.			
	C81	4,691,771	9/1987	Ware et al.			
	C82	4,704,514	11/1987	Van Edmond et al.			
	C83	4,772,634	9/1988	Farooque			
	C84	4,787,452	11/1988	Jennings, Jr.			
	C85	4,817,711	4/1989	Jeambey			
	C86	4,818,370	4/1989	Gregoli et al.			
	C87	4,928,765	5/1990	Nielson			
	C88	5,064,006	11/1991	Waters et al.			
	C89	5,082,054	1/1992	Kiamanesh			

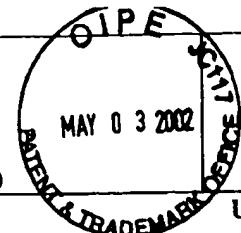
EXAMINER:

*Deepti Johnson*

DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Form PTO-1449 (modified)  
 List of Patents and Publications  
 For Applicant's Information  
 Disclosure Statement  
 (Use several sheets if necessary)



ATTY. DKT. NO. 5659-06700/TH1992

SERIAL NO. 09/841,127

APPLICANT: Wellington, et al.

GROUP: 3672

FILING DATE: April 24, 2001

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
QD	C90	5,082,055	1/1992	Hemsath			
	C91	5,217,076	6/1993	Masek			
	C92	5,261,490	11/1993	Ebinuma			RECEIVED
	C93	5,285,846	2/1994	Mohn			MAY 07 2002
	C94	5,289,882	3/1994	Moore			
	C95	5,411,104	5/1995	Stanley			GROUP 3600
	C96	5,632,336	5/1997	Notz et al.			
	C97	5,713,415	2/1998	Bridges			
	C98	6,328,104	12/2001	Graue			
	D1	3,149,670	9/1964	Grant			RECEIVED
	D2	3,380,913	4/1968	Henderson			JUN 11 2002
	D3	3,794,116	2/1974	Higgins			TC 1700
	D4	4,197,911	4/1980	Anada			
	D5	4,412,124	10/1983	Kobayashi			
	D8	3,316,962	5/1967	Lange			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
QD	C99	2,015,460	10/1991	CA			
	C100	940558 A1	9/1999	EP			
	C101	01/81723 A1	11/2001	WO			
	C102	01/81505 A1	11/2001	WO			
	D6	1,165,361	4/1984	CA			
	D7	1,168,283	5/1994	CA			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

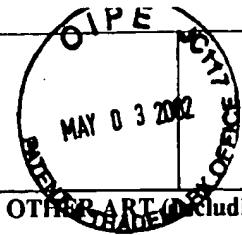
QD	C103	Appalachian Coals: Potential Reservoirs for Sequestering Carbon Dioxide Emissions from Power Plants While Enhancing CBM Production; C.W. Byer, et al., Proceedings of the International Coalbed Methane Symposium.
QD	C104	The Pros and Cons of Carbon Dioxide Dumping Global Warming Concerns Have Stimulated a Search for Carbon Sequestration Technologies; C. Hanisch, Environmental Science and Technology, American Chemical Society, Easton, PA.
QD	C105	Pilot Test Demonstrates How Carbon Dioxide Enhances Coal Bed Methane Recovery, Lanny Schoeling and Michael McGovern, Petroleum Technology Digest, September 2000, p. 14-15.

EXAMINER:

DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Form PTO-1449 (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)



ATTY. DKT. NO. 5659-06700/TH1992

SERIAL NO. 09/841,127

APPLICANT: Wellington, et al.

GROUP: 3672

FILING DATE: April 24, 2001

OTHER ART (Excluding Author, Title, Date, Pertinent Pages, Etc.)

<i>[Signature]</i>	C106	In Situ Measurement of Some Thermoporoelastic Parameters of a Granite, Berchenko et al., Poromechanics, A Tribute to Maurice Biot, 1998, p. 545-550.
<i>[Signature]</i>	C107	Conversion characteristics of selected Canadian coals based on hydrogenation and pyrolysis experiments, W. Kalkreuth, C. Roy, and M. Steller. Geological Survey of Canada, Paper 89-8, 1989, pages 108-114, XP001014535
<i>[Signature]</i>	D9	Passey et al., US Patent Application Publication 2001/0049342 A1, December 6, 2001.
<i>[Signature]</i>	D10	Tar and Pitch, G. Collin and H. Hoeke. Ullmann's Encyclopedia of Industrial Chemistry, Vol. A 26, 1995, p. 91-127.

RECEIVED  
JUN 11 2002  
TC 1700

RECEIVED  
MAY 07 2002  
GROUP 3600

EXAMINER: *Henry D Johnson*

DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Form PTO-1449 (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

JAN 8 2 2002  
PATENT & TRADEMARK OFFICE  
U.S. DEPARTMENT OF COMMERCE

ATTY. DKT. NO. 5659-06700/TH1992

APPLICANT: Wellington, et al. JAN 1 2002  
GROUP: 3672

FILING DATE: April 24, 2001

SERIAL NO. 09/841,127

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

A257	Comparison of Methods for Measuring Kerogen Pyrolysis Rates and Fitting Kinetic Parameters, Burnham et al., March 23, 1987, (29 pages).
A258	Further Comparison of Methods for Measuring Kerogen Pyrolysis Rates and Fitting Kinetic Parameters, Burnham et al., September 1987, (16 pages).
A259	Tests of a Mechanism for H <sub>2</sub> S Release During Coal Pyrolysis, Coburn et al., May 31, 1991, (6 pages).
A260	Kinetic Studies of Gas Evolution During Pyrolysis of Subbituminous Coal, J. H. Campbell et al., May 11, 1976, (14 pages).
A261	Excavation of the Partial Seam Crip Underground Coal Gasification Test Site, Robert J. Cena, August 14, 1987, (11 pages).
A262	Evolution of Sulfur Gases During Coal Pyrolysis, Oh et al., February 3, 1988, (11 pages).
A263	Coal Pyrolysis and Methane Decomposition In the Presence of a Hot Char Bed, Peters et al., August 1983, (21 pages)
A264	Pyrolysis Kinetics and Maturation of Coals from the San Juan Basin, John G. Reynolds & Alan K. Burnham, December 1992, (30 pages).
A265	Numerical Model of Coal Gasification in a Packed Bed, A.M. Winslow, April 1976 (27 pages).
A266	LLL In-Situ Coal Gasification Program, Stephens et al., June, 14, 1976 (12 pages)
A267	Pyrolysis of Subbituminous Coal as it Relates to In-Situ Coal Gasification, J.H. Campbell, January 17, 1977 (20 pages)
A268	The Historical Development of Underground Coal Gasification, D. Olness & D.W. Gregg, June 30, 1977 (60 pages).
A269	Laboratory Measurements of Groundwater Leaching and Transport of Pollutants Produced During Underground Coal Gasification, V.A. Dalton & J.H. Campbell, March 1, 1978 (21 pages).
A270	The Hoe Creek II Field Experiment of Underground Coal Gasification, Preliminary Results, Aiman et al., February 27 1978 (26 pages).
A271	Ground-Water and Subsidence Investigations of the LLL In Situ Coal Gasification Experiments, Mead et al., July 17-2 1978 (31 pages).
A272	Geotechnical Instrumentation Applied to In Situ Coal Gasification Induced Subsidence, Ganow et al., June 21, 1978 (1 pages).
A273	The Use of Tracers in Laboratory and Field Tests of Underground Coal Gasification and Oil Shale Retorting, Lyczkowski et al., June 16, 1978 (19 pages).
A274	Underground Gasification of Rocky Mountain Coal, D.R. Stephens and R.W. Hill, July 18, 1978 (15 pages).
A275	High-BTU Gas Via In Situ Coal Gasification, Stephens et al., October, 1978 (41 pages).
A276	A One-Dimensional Model for In Situ Coal Gasification, Thorsness et al., August 25, 1978 (76 pages).
A277	Control Aspects of Underground Coal Gasification: LLL Investigations of Ground-Water and Subsidence Effects, Mead et al., November 10, 1978 (21 pages).
A278	Environmental Controls for Underground Coal Gasification: Ground-Water Effects and Control Technologies, Warren Mead & Ellen Raber, March 14, 1980 (19 pages).
A279	Results from the Third LLL Underground Coal Gasification Experiment at Hoe Creek, Hill et al., May 20, 1980 (12 pages).
A280	Results From the Hoe Creek No. 3 Underground Coal Gasification Experiment, Thorsness et al., May 1980, (11 pages).
A281	Steam Tracer Experiment at the Hoe Creek No. 3 Underground Coal Gasification Experiment, Thorsness et al., November 26, 1980 (51 pages).
A282	Computer Models to Support Investigations of Surface Subsidence and Associated Ground Motion Induced by Underground Coal Gasification, R.T. Langland & B.C. Trent, July 1981 (16 pages).

EXAMINER:

DATE CONSIDERED:

GR 2001  
JAN 17 2002

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

A283	Burn Cavity Growth During the Hoe Creek No. 3 Underground Coal Gasification Experiment, R.W. Hill, June 8, 1981 (28 pages)
A284	The Controlled Retracting Injection Point (Crip) System: A Modified Stream Method for <u>In Situ</u> Coal Gasification, R.W. Hill & M.J. Shannon, April 15, 1981 (11 pages).
A285	Coal Block Gasification Experiments: Laboratory Results and Field Plans: C.B. Thorsness & R.W. Hill, July 1981 (23 pages).
A286	Laboratory Scale Simulation of Underground Coal Gasification: Experiment and Theory, J.R. Creighton & (27 pages)
A287	Underground Coal Gasification - A Leading Contender in the Synfuels Industry, D.R. Stephens, October 27, 1981 (42 pages).
A288	Computer Models to Support Investigations of Surface Subsidence and Associated Ground Motion Induced by Underground Coal Gasification, B.C. Trent & R.T. Langland, August 1981 (40 pages).
A289	The Hoe Creek Experiments: LLNL's Underground Coal Gasification Project in Wyoming, D.R. Stephens, October 1981 (162 pages).
A290	Technical Underground Coal Gasification Summation: 1982 Status, Stephens et al., July 1982 (22 pages).
A291	Review of Underground Coal Gasification Field Experiments at Hoe Creek (34 pages).
A292	Underground Coal Gasification Using Oxygen and Steam, Stephens et al., January 19, 1984 (37 pages).
A293	Shale Oil Cracking Kinetics and Diagnostics, Bissell et al., November 1983, (27 pages).
A294	Mathematical Modeling of Modified In Situ and Aboveground Oil Shale Retorting, Robert L. Braun, January 1981 (4 pages).
A295	Progress Report on Computer Model for In Situ Oil Shale Retorting, R.L. Braun & R.C.Y. Chin, July 14, 1977 (34 pages).
A296	Analysis of Multiple Gas-Solid Reactions During the Gasification of Char in Oil Shale Blocks, Braun et al., April 1981 (14 pages)
A297	Chemical Kinetics and Oil Shale Process Design, Alan K. Burnham, July 1993 (16 pages).
A298	Reaction Kinetics and Diagnostics For Oil Shale Retorting, Alan K. Burnham, October 19, 1981 (32 pages).
A299	Reaction Kinetics Between Steam and Oil Shale Char, A.K. Burnham, October 1978 (8 pages).
A300	General Kinetic Model of Oil Shale Pyrolysis, Alan K. Burnham & Robert L. Braun, December 1984 (25 pages).
A301	General Model of Oil Shale Pyrolysis, Alan K. Burnham & Robert L. Braun, November 1983 (22 pages).
A302	Pyrolysis Kinetics for Green River Oil Shale From the Saline Zone, Burnham et al., February, 1982 (33 pages).
A303	Reaction Kinetics Between CO <sub>2</sub> and Oil Shale Char, A.K. Burnham, March 22, 1978 (9 pages front & back).
A304	Reaction Kinetics Between CO <sub>2</sub> and Oil Shale Residual Carbon. I. Effect of Heating Rate on Reactivity, Alan K. Burnham, July 11, 1978 (11 pages front and back).
A305	High-Pressure Pyrolysis of Colorado Oil Shale, Alan K. Burnham & Mary F. Singleton, October 1982 (23 pages).
A306	A Possible Mechanism Of Alkene/Alkane Production in Oil Shale Retorting, A.K. Burnham, R.L. Ward, November 2 1980 (20 pages).
A307	Enthalpy Relations For Eastern Oil Shale, David W. Camp, November 1987 (13 pages).
A308	Oil Shale Retorting: Part 3 A Correlation of Shale Oil 1-Alkene/n-Alkane Ratios With Yield, Coburn et al., August 1, 1977 (18 pages).
A309	The Composition of Green River Shale Oil, Glen L. Cook, et al., 1968 (12 pages).

FAX RECEIVED

EXAMINER:

*Henry D. Johnson*

DATE CONSIDERED: 12/13/04

3600

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner

JAN 07 2002

ATTY. DKT. NO. 5659-06700/TH1992

SERIAL NO. 09/841,127

APPLICANT: Wellington et al. MN 03 2002

GROUP: 3672

FILING DATE: April 24, 2001

JAN 03 2002

OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.)

	A310	On-line, Mass Spectrometric Determination of Ammonia From Oil Shale Pyrolysis Using Isobutane Chemical Ionization, Crawford et al., March 1988 (16 pages).
	A311	Thermal Degradation of Green River Kerogen at 150° to 350° C Rate of Production Formation, J.J. Cummins & W.E. Robinson, 1972 (18 pages)
	A312	Retorting of Green River Oil Shale Under High-Pressure Hydrogen Atmospheres, LaRue et al., June 1977 (38 pages).
	A313	Retorting and Combustion Processes In Surface Oil-Shale Retorts, A.E. Lewis & R.L. Braun, May 2, 1980 (12 pages)
	A314	Oil Shale Retorting Processes: A Technical Overview, Lewis et al., March 1984 (18 pages).
	A315	Study of Gas Evolution During Oil Shale Pyrolysis by TQMS, Oh et al., February 1988 (10 pages).
	A316	The Permittivity and Electrical Conductivity of Oil Shale, A.J. Piwinski & A. Duba, April 28, 1975 (12 pages).
	A317	Oil Degradation During Oil Shale Retorting, J.H. Raley & R.L. Braun, May 24, 1976 (14 pages).
	A318	Kinetic Analysis of California Oil Shale By Programmed Temperature Micropyrolysis, John G. Reynolds & Alan K. Burnham, December 9, 1991 (14 pages).
	A319	Analysis of Oil Shale and Petroleum Source Rock Pyrolysis by Triple Quadrupole Mass Spectrometry: Comparisons of Gas Evolution at the Heating Rate of 10°C/Min., Reynolds et al. October 5, 1990 (57 pages).
	A320	Catalytic Activity of Oxidized (Combusted) Oil Shale for Removal of Nitrogen Oxides with Ammonia as a Reductant in Combustion Gas Streams, Part II, Reynolds et al., January 4, 1993 (9 pages).
	A321	Fluidized-Bed Pyrolysis of Oil Shale, J.H. Richardson & E.B. Huss, October 1981 (27 pages).
	A322	Retorting Kinetics for Oil Shale From Fluidized-Bed Pyrolysis, Richardson et al., December 1981 (30 pages).
	A323	Recent Experimental Developments in Retorting Oil Shale at the Lawrence Livermore Laboratory, Albert J. Rothman August 1978 (32 pages).
	A324	The Lawrence Livermore Laboratory Oil Shale Retorts, Sandholtz et al. September 18, 1978 (30 pages).
	A325	Operating Laboratory Oil Shale Retorts In An In-Situ Mode, W. A. Sandholtz et al., August 18, 1977 (16 pages).
	A326	Some Relationships of Thermal Effects to Rubble-Bed Structure and Gas-Flow Patterns in Oil Shale Retorts, W. A. Sandholtz, March 1980 (19 pages).
	A327	Assay Products from Green River Oil Shale, Singleton et al., February 18, 1986 (213 pages).
	A328	Biomarkers in Oil Shale: Occurrence and Applications, Singleton et al., October 1982 (28 pages).
	A329	Occurrence of Biomarkers in Green River Shale Oil, Singleton et al., March 1983 (29 pages).
	A330	An Instrumentation Proposal for Retorts in the Demonstration Phase of Oil Shale Development, Clyde J. Sisemore, April 19, 1977, (34 pages)
	A331	A Laboratory Apparatus for Controlled Time/Temperature Retorting of Oil Shale, Stout et al., November 1, 1976 (19 pages).
	A332	SO <sub>2</sub> Emissions from the Oxidation of Retorted Oil Shale, Taylor et al., November 1981 (9 pages).
	A333	Nitric Oxide (NO) Reduction by Retorted Oil Shale, R.W. Taylor & C.J. Morris, October 1983 (16 pages).
	A334	Coproduction of Oil and Electric Power from Colorado Oil Shale, P. Henrik Wallman, September 24, 1991 (20 pages)
	A335	<sup>13</sup> C NMR Studies of Shale Oil, Raymond L. Ward & Alan K. Burnham, August 1982 (22 pages).
	A336	Identification by <sup>13</sup> C NMR of Carbon Types in Shale Oil and their Relationship to Pyrolysis Conditions, Raymond L. Ward & Alan K. Burnham, September 1983 (27 pages).
	A337	A Laboratory Study of Green River Oil Shale Retorting Under Pressure In a Nitrogen Atmosphere, Wise et al., September 1976 (24 pages).

EXAMINER:

DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner

Form PTO-1449 (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

ATTY. DKT. NO. 5659-06700/TH1992

OFFICE SERIAL NO. 09/841,127

APPLICANT: Wellington, et al.

JAN 03 2002 GROUP: 3672

FILING DATE: April 24, 2001

JAN 03 2002

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

000	A338	Quantitative Analysis and Evolution of Sulfur-Containing Gases from Oil Shale Pyrolysis by Triple Quadrupole Mass Spectrometry, Wong et al., November 1983 (34 pages).
	A339	Quantitative Analysis & Kinetics of Trace Sulfur Gas Species from Oil Shale Pyrolysis by Triple Quadrupole Mass Spectrometry (TQMS), Wong et al., July 5-7, 1983 (34 pages).
	A340	Application of Self-Adaptive Detector System on a Triple Quadrupole MS/MS to High Explosives and Sulfur-Containing Pyrolysis Gases from Oil Shale, Carla M. Wong & Richard W. Crawford, October 1983 (17 pages).
	A341	An Evaluation of Triple Quadrupole MS/MS for On-Line Gas Analyses of Trace Sulfur Compounds from Oil Shale Processing, Wong et al., January 1985 (30 pages).
	A342	Source and Kinetics of Sulfur Species in Oil Shale Pyrolysis Gas by Triple Quadrupole Mass Spectrometry, Wong et al., October 1983 (14 pages).
	A343	The Centralia Partial Seam CRIP Underground Coal Gasification Experiment, Cena et al., June 1984 (38 pages).
	A344	Results of the Centralia Underground Coal Gasification Field Test, Hill et al., August 1984 (18 pages).
	A345	Excavation of the Partial Seam Crip Underground Coal Gasification Test Site, Cena et al., August 14, 1987 (11 pages).
	A346	Assessment of the CRIP Process for Underground Coal Gasification: The Rocky Mountain I Test, Cena et al., August 1988 (22 pages).
	A347	Mild Coal Gasification-Product Separation, Pilot-Unit Support, Twin Screw Heat Transfer, and H <sub>2</sub> S Evolution, Camp et al., August 9, 1991 (12 pages).
	A348	Underground Coal Gasification Site Selection and Characterization in Washington State and Gasification Test Design Randolph Stone & R.W. Hill, September 10, 1980 (62 pages).

FAX RECEIVED

JAN 07 2002

GFCLP 3600

EXAMINER

DATE CONSIDERED: 12/13/04

EXAMINER Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner

Form PTO-1449 (modified).  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

JAN 03 2002

ATTY. DKT. NO. 5659-06700/TH1992

APPLICANT: Wellington, et al.

SERIAL NO. 09/841,127

GROUP: 3672

FILING DATE: April 24, 2001

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	760,304	05/1904	Butler			
	A2	1,342,741	06/1920	Day			
	A3	1,510,655	10/1924	Clark			
	A4	1,666,488	02/1927	Crawshaw			
	A5	1,913,395	11/1929	Kerrick			
	A6	2,423,674	07/1947	Agren			
	A7	2,444,755	07/1948	Steffen			
	A8	2,466,945	02/1946	Greene			
	A9	2,472,445	06/1949	Sprong			
	A10	2,484,063	10/1949	Ackley			
	A11	2,497,868	02/1950	Dalin			
	A12	2,548,360	04/1951	Germain			
	A13	2,593,477	04/1952	Newman et al.			
	A14	2,595,979	05/1952	Pevere et al.			
	A15	2,630,306	01/1952	Evans			
	A16	2,634,961	04/1953	Ljungstrom			
	A17	2,642,943	06/1953	Smith et al.			
	A18	2,670,802	03/1954	Ackley			
	A19	2,695,163	11/1954	Pearce et al.			
	A20	2,732,195	01-24-56	Ljungstrom			
	A21	2,734,579	02-14-56	Elkins			
	A22	2,780,449	02-05-57	Fisher et al.			
	A23	2,777,679	01/1957	Ljungstrom			
	A24	2,780,450	02/1957	Ljungstrom			
	A25	2,786,660	03/1957	Alleman			JAN 07 2002
	A26	2,789,805	04/1957	Ljungstrom			
	A27	2,804,149	08/1957	Kile			
	A28	2,841,375	07/1958	Salomonsson			
	A29	2,902,270	09/1959	Salomonsson et al.			
	A30	2,906,337	09/1959	Henning			

EXAMINER: *Denyse Johnson*

DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner

Form PTO-1449 (modified).  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary.)



ATTY. DKT. NO. 5659-06700/TH1992

APPLICANT: Wellington, et al.

SERIAL NO. 09/841,127

GROUP: 3672

FILING DATE: April 24, 2001

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A31	2,914,309	11/1959	Salomonsson			
	A32	2,923,535	02/1960	Ljungstrom			
	A33	2,939,689	06/1960	Ljungstrom			
	A34	2,954,826	10/1960	Sievers			
	A35	2,974,937	03/1961	Kiel			
	A36	2,994,376	08/1961	Crawford et al.			
	A37	2,998,457	08/1961	Paulsen			
	A38	3,004,603	10/1961	Rogers et al.			
	A39	3,007,521	11/1961	Trantham et al.			
	A40	3,095,031	06/1963	Eurenius et al.			
	A41	3,105,545	10/1963	Prats et al.			
	A42	3,106,244	10/1963	Parker			
	A43	3,110,345	11/1963	Reed et al.			
	A44	3,113,623	12/1963	Krueger			
	A45	3,114,417	12/1963	McCarthy			
	A46	3,131,763	05/1964	Kunetka et al.			
	A47	3,139,928	07/1964	Broussard			
	A48	3,142,336	07/1964	Doscher			
	A49	3,149,672	10/1964	Orkiszewski et al.			
	A50	3,163,745	12/1964	Boston			
	A51	3,164,207	01/1965	Thessen et al.			
	A52	3,182,721	05/1965	Hardy			
	A53	3,183,675	05/1965	Schroeder			
	A54	3,191,679	06/1965	Miller			JAN 07 2002
	A55	3,205,946	10/1965	Prats et al.			
	A56	3,207,220	10/1965	Williams			
	A57	3,208,531	10/1965	Tamplen			
	A58	3,209,825	10/1965	Alexander et al.			

FAX RECEIVED

JAN 07 2002

GROUP 3600

EXAMINER:

DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner

Form PTO-1449 (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

JAN 03 2001  
U.S. PATENT & TRADEMARK OFFICE

ATTY. DKT. NO. 5659-06700/TH1992

SERIAL NO. 09/841,127

APPLICANT: Wellington, et al.

GROUP: 3672

FILING DATE: April 24, 2001

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A59	3,237,689	03/1966	Justheim			
	A60	3,241,611	03/1966	Dougan			
	A61	3,250,327	05/1966	Crider			
	A62	3,267,680	08/1966	Schlumberger			
	A63	3,284,281	11/1966	Thomas			
	A64	3,338,306	08/1967	Cook			
	A65	3,528,501	09/1970	Parker			
	A66	3,595,082	07/1971	Miller et al.			
	A67	3,973,628	08/1976	Colgate			
	A68	3,992,148	11/1975	Child			
	A69	3,993,132	11/1977	Garrett			
	A70	4,016,239	04/1977	Fenton			
	A71	4,076,761	02/1978	Chang et al.			
	A72	4,089,372	05/1978	Terry			
	A73	4,093,026	06/1978	Ridley			
	A74	4,096,163	06/1978	Chang, et al.			
	A75	4,130,575	12/1978	Jorn et al.			
	A76	4,133,825	01/1979	Stroud et al.			
	A77	4,138,442	02/1979	Chang et al.			
	A78	4,186,801	02/1980	Madgavkar et al.			
	A79	4,250,230	02/1981	Terry			
	A80	4,250,962	02/1981	Madgavkar et al.			
	A81	4,273,188	06/1981	Vogel et al.			
	A82	4,274,487	06/1981	Hollingsworth et al.			
	A83	4,299,086	11/1981	Madgavkar et al.			
	A84	4,299,285	11/1981	Tsai et al.			
	A85	4,359,687	11/1982	Vinegar et al.			
	A86	4,363,361	12/1982	Madgavkar et al.			
	A87	4,366,668	01/1983	Madgavkar et al.			
	A88	4,378,048	03/1983	Madgavkar et al.			

EXAMINER: Aug D. Johnson

DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner

Form PTO-1449 (modified)  
 List of Patents and Publications  
 For Applicant's Information  
 Disclosure Statement  
 (Use several sheets if necessary)

ATTY. DKT. NO. 5659-06700/TH1992

SERIAL NO. 09/841,127

APPLICANT: Wellington, et al.

GROUP: 3672

FILING DATE: April 24, 2001

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
000	A89	4,381,641	05/1983	Madgavkar et al.			
	A90	4,398,151	08/1983	Vinegar et al.			
	A91	4,407,973	10/1983	van Dijk et al.			
	A92	4,409,090	10/1983	Hanson et al.			
	A93	4,444,258	04/1984	Kalmar			
	A94	4,501,445	02/1985	Gregoli			
	A95	4,530,401	07/1985	Hartman et al.			
	A96	4,540,882	10/1985	Vinegar et al			
	A97	4,542,648	10/1985	Vinegar et al.			
	A98	4,570,715	02/1986	Van Meurs et al.			
	A99	4,571,491	02/1986	Vinegar et al			
	A100	4,572,299	02/1986	Vanegmond et al.			
	A101	4,583,046	04/1986	Vinegar et al			
	A102	4,583,242	04/1986	Vinegar et al			
	A103	4,594,468	06/1986	Minderhoud			
	A104	4,597,441	07/1986	Ware et al.			
	A105	4,605,680	08/1986	Beuther et al			
	A106	4,613,754	09/1986	Vinegar et al			
	A107	4,616,705	10/1986	Stegemeier et al.			
	A108	4,635,197	01/1987	Vinegar et al			
	A109	4,640,352	02/1987	Vanmeurs et al.			
	A110	4,644,283	02/1987	Vinegar et al			
	A111	4,658,215	04/1987	Vinegar et al			
	A112	4,663,711	05/1987	Vinegar et al			
	A113	4,671,102	06/1987	Vinegar et al			
	A114	4,716,960	01/1988	Eastlund et al.			
	A115	4,719,423	01/1988	Vinegar et al.			
	A116	4,728,892	03/1988	Vinegar et al			
	A117	4,730,162	03/1988	Vinegar et al			
	A118	4,743,854	05/1988	Vinegar et al.			

EXAMINER: Denys Johnson

DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner

Form PTO-1449 (modified).  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

ATTY. DKT. NO. 5659-06700/TH1992

SERIAL NO. 09/841,127

APPLICANT: Wellington, et al.

GROUP: 3672

FILING DATE: April 24, 2001

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
CDW	A119	4,762,425	08/1988	Shakkottai et al.			
	A120	4,769,602	09/1988	Vinegar et al.			
	A121	4,769,606	09/1988	Vinegar et al.			
	A122	4,793,656	12/1988	Siddoway et al.			
	A123	4,827,761	05/1989	Vinegar et al.			
	A124	4,848,924	07/1989	Nuspl et al.			
	A125	4,856,341	08/1989	Vinegar et al.			
	A126	4,860,544	08/1989	Krieg et al.			
	A127	4,866,983	09/1989	Vinegar et al.			
	A128	4,884,455	12/1989	Vinegar et al.			
	A129	4,886,118	12/1989	Van Meurs et al.			
	A130	4,927,857	05/1990	McShea III et al.			
	A131	4,974,425	12/1990	Krieg et al.			
	A132	4,983,319	01/1991	Gregoli et al.			
	A133	4,984,594	01/1991	Vinegar et al.			
	A134	4,987,368	01/1991	Vinegar			
	A135	4,994,093	02/1991	Wetzel et al.			
	A136	5,014,788	05/1991	Puri et al.			
	A137	5,046,559	10/1991	Glandt			
	A138	5,050,386	09/1991	Krieg et al.			
	A139	5,060,287	10/1991	Van Egmond			
	A140	5,060,726	10/1991	Glandt et al.			
	A141	5,065,818	11/1991	Van Egmond			
	A142	5,168,927	12/1992	Stegemeier et al.			
	A143	5,189,283	02/1993	Carl, Jr. et al.			
	A144	5,190,405	03/1993	Vinegar et al.			
	A145	5,207,273	05/1993	Cates et al.			
	A146	5,211,230	05/1993	Ostapovich et al.			
	A147	5,226,961	07/1993	Nahm et al.			
	A148	5,229,583	07/1993	van Egmond et al.			

EXAMINER: Henry D Johnson

DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner

Form PTO-1449 (modified).  
 List of Patents and Publications  
 For Applicant's Information  
 Disclosure Statement  
 (Use several sheets if necessary)

ATTY. DKT. NO. 5659-06700/TH1992

SERIAL NO. 09/841,127

APPLICANT: Wellington, et al.

GROUP: 3672

FILING DATE: April 24, 2001

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
<i>CDW</i>	A149	5,236,039	08/1993	Edelstein et al.			
	A150	5,255,742	10/1993	Mikus			
	A151	5,297,626	03/1994	Vinegar et al.			
	A152	5,306,640	04/1994	Vinegar et al.			
	A153	5,318,116	06/1994	Vinegar et al.			
	A154	5,339,897	08/1994	Leaute			
	A155	5,340,467	08/1994	Gregoli et al.			
	A156	5,349,859	09/1994	Kleppe			
	A157	5,388,640	02/1995	Puri et al			
	A158	5,388,641	02/1995	Yee et al			
	A159	5,388,642	02/1995	Puri et al			
	A160	5,388,643	02/1995	Yee et al			
	A161	5,388,645	02/1995	Puri et al			
	A162	5,391,291	02/1995	Winquist et al.			
	A163	5,392,854	02/1995	Vinegar et al.			
	A164	5,404,952	04/1995	Vinegar et al			
	A165	5,409,071	04/1995	Wellington et al.			
	A166	5,411,089	05/1995	Vinegar et al.			
	A167	5,415,231	05/1995	Northrop et al.			
	A168	5,431,224	07/1995	Laali			
	A169	5,433,271	07/1995	Vinegar et al.			
	A170	5,437,506	08/1995	Gray			
	A171	5,439,054	08/1995	Chaback et al.			<i>JAN 07 2002</i>
	A172	5,454,666	10/1995	Chaback et al.			
	A173	5,497,087	03/1996	Vinegar et al.			
	A174	5,498,960	03/1996	Vinegar et al.			
	A175	5,525,322	06/1996	Willms			
	A176	5,553,189	09/1996	Stegemeier et al.			
	A177	5,554,453	09/1996	Steinfeld et al.			
	A178	5,566,756	10/1996	Chaback et al.			

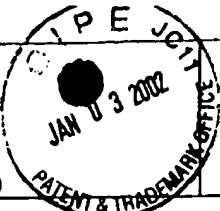
EXAMINER

*Devin D. Johnson*

DATE CONSIDERED: *12/13/04*

EXAMINER Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner

Form PTO-1449 (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)



ATTY. DKT. NO. 5659-06700/TH1992

SERIAL NO. 09/841,127

APPLICANT: Wellington, et al.

GROUP: 3672

FILING DATE: April 24, 2001

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
DD	A179	5,624,188	04/1997	West	1		
	A180	5,656,239	08/1997	Stegemeier et al.			
	A181	5,676,212	10/1997	Kuckes			
	A182	5,862,858	01/1999	Wellington et al.			
	A183	5,899,269	05/1999	Wellington et al.			
	A184	5,968,349	10/1999	Duyvesteyn et al.			
	A185	5,984,010	11/1999	Elias et al.			
	A186	5,985,138	11/1999	Humphreys			
	A187	5,997,214	12/1999	de Rouffignac et al.			
	A188	6,016,867	01/2000	Gregoli et al.			
	A189	6,016,868	01/2000	Gregoli et al.			
	A190	6,019,172	02/2000	Wellington et al.			
	A191	6,023,554	02/2000	Vinegar et al.			
	A192	6,056,057	05/2000	Vinegar et al.			
	A193	6,079,499	06/2000	Mikus et al.			
	A194	6,085,512	07/2000	Agee et al.			
	A195	6,094,048	07/2000	Vinegar et al.			
	A196	6,102,122	08/2000	de Rouffignac			
	A197	6,102,622	08/2000	Vinegar et al.			
	A198	6,152,987	11/2000	Ma et al.			JAN 07 2002
	A199	6,172,124	01/2001	Wolflick et al.			
	A200	6,173,775 B1	01/2001	Elias et al.			
	A201	6,187,465	02/2001	Galloway			
	A202	Re. 30,738	09/1981	Bridges et al.			
	A203	Re. 35,696	12/1997	Mikus			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLAT ON YES/NO
DD	A204	121,737	03/1948	Sweden			
DD	A205	123,136	11/1948	Sweden			

EXAMINER:

DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner

Form PTO-1449 (modified).  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

PE  
JAN 03 2002  
PATENT & TRADEMARK OFFICE

ATTY. DKT. NO. 5659-06700/TH1992

APPLICANT: Wellington, et al.

FILING DATE: April 24, 2001

SERIAL NO. 09/841,127

GROUP: 3672

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO
	A206	123,137	11/1948	Sweden			
	A207	123,138	11/1948	Sweden			
	A208	126,674	11/1949	Sweden			
	A209	1,196,594	11/1985	CA			
	A210	1,253,555	05/1989	CA			
	A211	1,288,043	08/1991	CA			
	A212	156,396	01/1921	GB			
	A213	674,082	06/1952	GB			
	A214	697,189	09/1953	GB			
	A215	1,454,324	11/1976	GB			
	A216	1,501,310	02/1978	GB			
	A217	2,086,416	05/1982	GB			
	A218	1836876	12/1994	SU			
	A219	0570228 B1	09/1996	EP			
	A220	99/01640	01/1999	WO			
	A221	95/06093	03/1995	WO			
	A222	95/12746	05/1995	WO			
	A223	95/33122	12/1995	WO			
	A224	95/12742	05/1995	WO			
	A225	95/12743	05/1995	WO			
	A226	95/12744	05/1995	WO			
	A227	95/12745	05/1995	WO			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	A228	Some Effects of Pressure on Oil-Shale Retorting," Society of Petroleum Engineers Journal, J.H. Bae, September, 1966, pp. 287-292.
	A229	New in situ shale-oil recovery process uses hot natural gas; The Oil & Gas Journal; May 16, 1966, p. 151.
	A230	Evaluation of Downhole Electric Impedance Heating Systems for Paraffin Control in Oil Wells; Industry Applications Society 37 <sup>th</sup> Annual Petroleum and Chemical Industry Conference; The Institute of Electrical and Electronics Engineers Inc., Bosch et al., September 1990, pp. 223-227.
	A231	New System Stops Paraffin Build-up; Petroleum Engineer, Eastlund et al., January 1989, (3 pages).
	A232	Oil Shale Retorting: Effects of Particle Size and Heating Rate on Oil Evolution and Intraparticle Oil Degradation; Campbell et al. In Situ 2(1), 1978, pp. 1-47.

EXAMINER: *Denny D. Johnson*

DATE CONSIDERED: 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner

Form PTO-1449 (modified)  
List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

ATTY. DKT. NO. 5659-06700/TH1992

SERIAL NO 09/841,127

APPLICANT: Wellington, et al.

GROUP: 3672

FILING DATE: April 24, 2001

OTHER ART (Excluding Author, Title, Date, Pertinent Pages, Etc.)

A233	The Potential For In Situ Retorting of Oil Shale In the Piceance Creek Basin of Northwestern Colorado; Dougan et al Quarterly of the Colorado School of Mines, pp. 57-72.
A234	Retorting Oil Shale Underground-Problems & Possibilities; B.F. Grant, Qlty of Colorado School of Mines, pp 39-46.
A235	Molecular Mechanism of Oil Shale Pyrolysis in Nitrogen and Hydrogen Atmospheres, Hershkowitz et al.. Geochemistry and Chemistry of Oil Shales, American Chemical Society, 5/1983 pp. 301-316.
A236	The Characteristics of a Low Temperature In Situ Shale Oil; George Richard Hill & Paul Dougan, Quarterly of the Colorado School of Mines, 1967; pp. 75-90.
A237	Direct Production Of A Low Pour Point High Gravity Shale Oil; Hill et al., I & EC Product Research and Development, 6(1), March 1967; pp. 52-59.
A238	Refining Of Swedish Shale Oil, L. Lundquist, pp. 621-627.
A239	The Benefits of In Situ Upgrading Reactions to the Integrated Operations of the Orinoco Heavy-Oil Fields and Downstream Facilities, Myron Kuhlman, Society of Petroleum Engineers, June 2000; pp. 1-14.
A240	Monitoring Oil Shale Retorts by Off-Gas Alkene/Alkane Ratios, John H. Raley, Fuel, Vol. 59, June 1980, pp. 419-42.
A241	The Shale Oil Question, Old and New Viewpoints, A Lecture in the Engineering Science Academy, Dr. Fredrik Ljungstrom, February 23, 1950, published in Teknisk Trdskrift, January 1951 p. 33-40.
A242	Underground Shale Oil Pyrolysis According to the Ljungstroem Method; Svenska Skifferolje Aktiebolaget (Swedish Shale Oil Corp.), IVA, Vol. 24, 1953, No. 3, pp. 118-123.
A243	Kinetics of Low-Temperature Pyrolysis of Oil Shale by the IITRI RF Process, Sresty et al.; 15 <sup>th</sup> Oil Shale Symposium Colorado School of Mines, April 1982 pp. 1-13.
A244	Bureau of Mines Oil-Shale Research, H.M. Thorne, Quarterly of the Colorado School of Mines, pp. 77-90.
A245	Application of a Microretort to Problems in Shale Pyrolysis, A. W. Weitkamp & L.C. Gutberlet, Ind. Eng. Chem. Process Des. Develop. Vol. 9, No. 3, 1970, pp. 386-395.
A246	Oil Shale, Yen et al., Developments in Petroleum Science 5, 1976, pp. 187-189, 197-198.
A247	The Composition of Green River Shale Oils, Glenn L. Cook, et al., United Nations Symposium on the Development and Utilization of Oil Shale Resources, 1968, pp. 1-23.
A248	High-Pressure Pyrolysis of Green River Oil Shale, Burnham et al., Geochemistry and Chemistry of Oil Shales, American Chemical Society, 1983, pp. 335-351.
A249	Geochemistry and Pyrolysis of Oil Shales, Tissot et al., Geochemistry and Chemistry of Oil Shales, American Chemical Society, 1983, pp. 1-11.
A250	A Possible Mechanism of Alkene/Alkane Production, Burnham et al., Oil Shale, Tar Sands, and Related Materials, American Chemical Society, 1981, pp. 79-92.
A251	The Ljungstroem In-Situ Method of Shale Oil Recovery, G. Salomonsson, Oil Shale and Cannel Coal, Vol. 2, Proceedings of the Second Oil Shale and Cannel Coal Conference, Institute of Petroleum, 1951, London, pp. 260-280
A252	Developments in Technology for Green River Oil Shale, G.U. Dinneen, United Nations Symposium on the Development and Utilization of Oil Shale Resources, Laramie Petroleum Research Center, Bureau of Mines, 1968, pp. 1-20.
A253	The Thermal and Structural Properties of a Hanna Basin Coal, R.E. Glass, Transactions of the ASME, Vol. 106, June 1984, pp. 266-271.
A254	The Thermal and Structural Properties of the Coal in the Big Coal Seam, R.E. Glass, In Situ, 8(2), 1984, pp. 193-205.
A255	Investigation of the Temperature Variation of the Thermal Conductivity and Thermal Diffusivity of Coal, Badzioch et al., Fuel, Vol. 43, No. 4, July 1964, pp. 267-280.
A256	On the Mechanism of Kerogen Pyrolysis, Alan K. Burnham & James A. Happe, January 1964 (2 pages).
B1	Proposed Field Test of the Lins Method Thermal Oil Recovery Process in Athabasca McMurray Tar Sands, Husky Oil Company.

EXAMINER:

Douglas Johnson

DATE CONSIDERED:

GROUP 3600 12/13/04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner